RIGHTPATH™

Investments & Financial Planning, Inc.

Retirement Planning Survey

Date

Personal Information

Client Name: Gender Date of Birth

Spouse Name: Gender Date of Birth

State of Residence:

Marital Status: ❑ Single ❑ Married ❑ Separated ❑ Divorced ❑ Widowed

Client Employment: ❑ Retired ❑ Employed ❑ Business Owner ❑ Homemaker ❑ Not Currently Employed

Employment Income $__________________ Other Income $______________________

Spouse Employment: ❑ Retired ❑ Employed ❑ Business Owner ❑ Homemaker ❑ Not Currently Employed

Employment Income $__________________ Other Income $______________________

Retirement Goals

Client Age to Retire:

Spouse Age to Retire:

Priority #1: Retirement Living Expense: **After-tax** amount for entire period $____________________________

Priority #____: Cars ____________________________ Annual Cost $____________ Frequency ________

Priority #____: Travel ____________________________ Annual Cost $____________ Frequency ________

Priority #____: Help/Care for Family _________________ Annual Cost $____________ Frequency ________

Priority #____: Major Purchases ____________________ Annual Cost $____________ Frequency ________

Priority #____: Home Improvements _________________ Annual Cost $____________ Frequency ________

Priority #____: Gifts/Donations ____________________ Annual Cost $____________ Frequency ________

Priority #____: Celebrations _______________________ Annual Cost $____________ Frequency ________

Priority #____: Other ____________________________ Annual Cost $____________ Frequency ________

Assets

Taxable

Whose Asset? ______________________ Description ____

Current Value $_____________________ Cost Basis $_____________________

Assign to Goal: ❑ One Goal: ___________ ❑ Priority Order ❑ Leave to Estate ❑ Not Used in Plan

❑ Multiple Goals: ____

Annual Additions: ❑ Additions $_________________________ Inflate? ❑ Yes ❑ No

Additions begin in _______ end in _______ OR ❑ Client Retires ❑ Spouse Retires

Asset Class Distribution*: Cash _______% Short _______% Int _______% Long _______%
LC Val _______% LC Gro _______% SC _______%
Int'l Dev ______% Int'l Emer _____% Other ______%

Tax-Free

Whose Asset? ______________________ Description ____

Current Value $_____________________ Is this asset subject to taxes? ❑ Yes ❑ No

Assign to Goal: ❑ One Goal: ___________ ❑ Priority Order ❑ Leave to Estate ❑ Not Used in Plan

❑ Multiple Goals: ____

Annual Additions: ❑ Additions $_________________________ Inflate? ❑ Yes ❑ No

Additions begin in _______ end in _______ OR ❑ Client Retires ❑ Spouse Retires

Asset Class Distribution*: Cash _______% Short _______% Int _______% Long _______%
LC Val _______% LC Gro _______% SC _______%
Int'l Dev ______% Int'l Emer _____% Other ______%

Tax-Deferred

Whose Asset? ______________________ Description ____

Current Value $_____________________ Cost Basis $_____________________

Assign to Goal: ❑ One Goal: ___________ ❑ Priority Order ❑ Leave to Estate ❑ Not Used in Plan

❑ Multiple Goals: ____

Annual Additions: ❑ Additions $_________________________ Inflate? ❑ Yes ❑ No

Additions begin in _______ end in _______ OR ❑ Client Retires ❑ Spouse Retires

Asset Class Distribution*: Cash _______% Short _______% Int _______% Long _______%
LC Val _______% LC Gro _______% SC _______%
Int'l Dev ______% Int'l Emer _____% Other ______%

Retirement Income

Client Social Security ❑ I am ineligible for Social Security Benefits OR Start year ___________

❑ Use this amount $______________________ per month (pre-tax, current dollars)
❑ Use the Planner Estimate
❑ Use this percentage of the the Planner Estimate ❑ 100% ❑ 75% ❑ 50% ❑ 25% ❑ 0%
Assign to Goal: ❑ Priority Order ❑ One Goal: ____

Spouse Social Security ❑ I am ineligible for Social Security Benefits OR Start year ___________

❑ Use this amount $______________________ per month (pre-tax, current dollars)
❑ Use the Planner Estimate
❑ Use this percentage of the the Planner Estimate ❑ 100% ❑ 75% ❑ 50% ❑ 25% ❑ 0%
Assign to Goal: ❑ Priority Order ❑ One Goal: ____

Pension 1
Whose Pension? _______________________ Description ____

Income Begins: ❑ Client Retires ❑ Spouse Retires ❑ Receiving Now ❑ Year ___________

Monthly Amount of Benefit? ______________ % Survivor Benefit ______________
Will this Amount Inflate? ❑ No ❑ Yes, Base Inflation Rate ❑ Yes, Base Inflation Rate ±______%

Assign to Goal: ❑ Priority Order ❑ One Goal: ____

Pension 2
Whose Pension? _______________________ Description ____

Income Begins: ❑ Client Retires ❑ Spouse Retires ❑ Receiving Now ❑ Year ___________

Monthly Amount of Benefit? ______________ % Survivor Benefit ______________
Will this Amount Inflate? ❑ No ❑ Yes, Base Inflation Rate ❑ Yes, Base Inflation Rate ±______%

Assign to Goal: ❑ Priority Order ❑ One Goal: ____

Part-Time Work
Whose Income? _______________________ Description ____

Income Begins: ❑ Client Retires ❑ Spouse Retires ❑ Receiving Now ❑ Year ___________

Number of Years to Work: ______________ Monthly Income $______________ (pre-tax, current dollars)

Will this Amount Inflate? ❑ No ❑ Yes, Base Inflation Rate ❑ Yes, Base Inflation Rate ±______%

Assign to Goal: ❑ Priority Order ❑ One Goal: ____

Retirement Income (cont'd)

Annuity Income

Whose Annuity? ____________________ Description ______________________________________

Income Begins: ❑ Client Retires ❑ Spouse Retires ❑ Receiving Now ❑ Year __________

Monthly Amount of Annuity Payment $____________

Will this Amount Inflate? ❑ No ❑ Yes, Base Inflation Rate ❑ Yes, Base Inflation Rate ±_____%

Payments End: Year ______ ❑ Owner Dies ❑ Owner Deceased, Spouse Receives _____%

Assign to Goal: ❑ Priority Order ❑ One Goal: ____

Other Income (Rental Income, Alimony, etc.)

Whose Annuity? ____________________ Description ______________________________________

Income Begins: ❑ Client Retires ❑ Spouse Retires ❑ Receiving Now ❑ Year __________

Income Ends: Year ______ Monthly Amount $____________ Is this Income Tax-Free? ❑ Yes ❑ No

Will this Amount Inflate? ❑ No ❑ Yes, Base Inflation Rate ❑ Yes, Base Inflation Rate ±_____%

Assign to Goal: ❑ Priority Order ❑ One Goal: ____

Other Income (Rental Income, Alimony, etc.)

Whose Annuity? ____________________ Description ______________________________________

Income Begins: ❑ Client Retires ❑ Spouse Retires ❑ Receiving Now ❑ Year __________

Income Ends: Year ______ Monthly Amount $____________ Is this Income Tax-Free? ❑ Yes ❑ No

Will this Amount Inflate? ❑ No ❑ Yes, Base Inflation Rate ❑ Yes, Base Inflation Rate ±_____%

Assign to Goal: ❑ Priority Order ❑ One Goal: ____

What's Next?

Once you have completed these questions, take time to think about what your answers mean to you. Because RightPath Investments engages in the entire financial life planning process, we can help you with follow up analysis and even supply you with a computer-generated comparisons of various scenarios for your goals and investments to aid in identifying, prioritizing and tackling your next planning steps. **Call us today for assistance with your planning.**

* *Cash = Cash & Cash Equivalents* *Short = Short-Term Bonds* *Int = Intermediate-Term Bonds*
Long = Long-Term Bonds *LC Val = Large Cap Value Stocks* *LC Gro = Large Cap Growth Stocks*
SC = Small Cap Stocks *Int'l Dev = International Developed Stocks*
Int'l Emer = International Emerging Stocks *Other = All Other Asset Classes*